# BEFORE THE BOARD OF PSYCHOLOGIST EXAMINERS

#### FOR THE STATE OF ARIZONA

In the Matter of

Case No. 16-04

Julia Lesselyong, Psy.D. Holder of License No. 4175 for the Practice of Psychology in the State of Arizona

FINDINGS OF FACT, CONCLUSIONS OF LAW, AND CONSENT AGREEMENT

On June 3, 2016, the Arizona Board of Psychologist Examiners ("Board") met in open session to discuss the above-referenced case. Julia Lesselyong, Psy.D. ("Licensee") was present with her legal counsel, Kathleen E. Brody. After discussion, consideration, and deliberation, the Board voted to enter into a Consent Agreement in lieu of commencing an Informal Interview.

#### **JURISDICTION**

- 1. The Board is the state agency authorized pursuant to Arizona Revised Statute ("A.R.S.") § 32-2061 *et. seq.*, and the rules promulgated thereunder in the Arizona Administrative Code ("A.A.C." or "rules") at R4-26-101 *et seq.*, to regulate and control the licensing of psychologists in the State of Arizona.
- 2. Licensee holds a license to practice as a psychologist in the State of Arizona, License number 4175, issued March 4, 2011, pursuant to A.R.S. § 32-2071 et seq.
- 3. The Board has personal and subject-matter jurisdiction over Licensee pursuant to A.R.S. § 32-2061 et seq.

#### **CONSENT AGREEMENT**

Licensee understands and agrees that:

- 4. The Board and Licensee enter into this Consent Agreement to promptly and judiciously resolve this matter, consistent with the public interest and the statutory requirements of the Board.
- 5. Licensee has the right to consult with an attorney before entering into this Consent Agreement.
- 6. Licensee has a right to a formal public hearing concerning this case where she could present evidence and cross-examine witnesses. Licensee irrevocably waives any right to such a hearing upon this Consent Agreement becoming effective.
- 7. Licensee irrevocably waives any right to rehearing or review or to any judicial review or any other appeal of this matter upon this Consent Agreement becoming effective.
- 8. Although Licensee does not agree that all of the allegations in the Request for Investigation are supported by the evidence, Licensee acknowledges that it is the Board's position that if this matter proceeded to a formal hearing, the Board could establish sufficient evidence to support a conclusion that certain of Licensee's conduct constituted unprofessional conduct. Licensee therefore has agreed to enter into this Consent Agreement as an economical and practical means of resolving the issues alleged in the Request for Investigation.
- 9. The Consent Agreement shall be subject to the Board's approval and shall be effective only when signed by the Executive Director and accepted by the Board. In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that if the Board rejects this Consent Agreement and this case proceeds to a formal hearing, Licensee shall assert no claim that the Board was prejudiced by its review and discussion of this document or any other records relating to this matter.

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The Consent Agreement, once approved by the Board and signed by the 10. Executive Director, shall constitute a public record that may be disseminated as a formal action of the Board and reported to the National Practitioner Data Bank.

## FINDINGS OF FACT

- 11. On February 4, 2016, the Board received a Request for Investigation that alleged acts of unprofessional conduct that, if found by the Board to be factually supported, could justify the Board taking action against Licensee.
- Licensee is confident that she could demonstrate that some of the 12. allegations are not supported by the facts, but concedes that the Board could find that other allegations are supported by the facts.
- The allegations raise issues concerning obtaining written assent to a 13. third party's presence during a decisional-capacity evaluation; not providing the patient with information needed to obtain follow-up feedback or the opportunity to do so; not scheduling a feedback appointment or providing a copy of her report to the patient immediately upon request; whether the evaluation was audio recorded, lack of standard procedures regarding the use of an audio recording, and proper maintenance of such a recording; and utilizing forms in her practice without understanding their contents.
- 14. Licensee has indicated that, since these events, she has taken steps to implement new practices that directly address the concerns implicated in this matter. Among other measures, she is updating the forms used in her practice to document assent to a third party's presence during an evaluation. She also is revising her forms to allow her to obtain patient approval, at the time an evaluation is conducted, for release of records to the patient, as well as to third parties. In addition, she is reviewing and revising her forms to ensure their content is appropriate and understandable. Finally, she has changed her practices so that, following a decisional-

capacity evaluation, she will attempt to schedule a feedback session with the patient and the patient will have information necessary to contact her.

- 15. Licensee has completed seven hours of Continuing Education courses to address the issues raised in the Request for Investigation (four hours in Effective Psychological Consultation in the Inpatient Medical Setting and three hours in Making Professional Ethics Practical).
- 16. Licensee has also provided the patient a copy of her report of the decisional-capacity evaluation.

## CONCLUSIONS OF LAW

17. The conduct and circumstances described above, if supported by the facts alleged, would constitute unprofessional conduct pursuant to A.R.S. § 32-2061(15)(o), engaging in activities that are unprofessional by current standards of practice, in this case not obtaining written assent to a third party's presence during a decisional-capacity evaluation, not providing the patient with information needed to obtain follow-up feedback or the opportunity to do so, and utilizing forms in her practice without understanding their contents; and A.R.S. § 32-2061(15)(cc), not making available to the patient, on written request, a copy of the patient's record, in this case not providing a copy of her report to the patient immediately upon request.

### **ORDER**

- 18. Pursuant to A.R.S. § 32-2081(S) the Board has determined that the Licensee's conduct in RFI No. 2016-04 warrants disciplinary action. Based on the foregoing Findings of Fact and Conclusions of Law, IT IS ORDERED THAT:
- 19. **PROBATION:** Licensee's license as a psychologist is placed on probation for a minimum period of twelve months from the effective date of this Consent Agreement, unless otherwise ordered by the Board. The effective date of this Consent Agreement is the date that it is signed by the Board's Executive Director, or her designee, on behalf of the Board.

- 20. **PRACTICE MONITOR:** While on probation, Licensee shall work with a Practice Monitor pre-approved by the Board's Executive Director and who will provide professional guidance and input to Licensee with respect to her practice in performing evaluations and assessments, including scheduling follow-up sessions for patient feedback, recordkeeping, and releasing evaluation reports.
- 21. Within 10 business days of the effective date of this Consent Agreement, Licensee shall enter into an agreement with a Board-approved Practice Monitor. Licensee shall select one of the following to act as her Practice Monitor:
  - a. Bhupin Butaney, Ph.D.
    8360 E. Raintree Drive, Suite 235
    Scottsdale, AZ 85260
    480-993-3463
  - b. John DiBacco, Ph.D.4848 E. Cactus Road, #505-178Scottsdale, AZ 85254602-818-8900
  - c. Deborah Lewis, Ph.D.1313 E. Osborn Road, Suite 100Phoenix, AZ 85014602-799-6281
  - d. Michael Redivo, Ph.D. 10752 N. 89th Place, Suite 221 Scottsdale, AZ 85260 480-231-2663
- 22. Licensee shall meet with the Practice Monitor at least twice per month for a minimum of two hours each session during the first four months of the probation period. At the discretion of the Practice Monitor, the frequency and duration of the meetings may be decreased thereafter with written notice to the Board. During these sessions, the Practice Monitor shall review with Licensee the forms used in her

practice and her practices in performing evaluations and assessments, including scheduling follow-up sessions for patient feedback, informed consent, the licensee's role in the evaluation and assessment of clients living in a rehabilitation or similar setting, recordkeeping, and the timely and proper release of evaluation reports. The Practice Monitor shall submit to the Board quarterly written reports of the meetings, to include topics covered and any modifications made to Licensee's practice. The Practice Monitor shall provide written reports to the Board 30 days after the end of each quarter. The first quarter shall begin on the effective date of this Consent Agreement. Before the conclusion of the probation period, the Practice Monitor is to complete a final written report to the Board summarizing his or her interaction with Licensee, topics discussed, areas of progress, matters of remaining concern, and overall impressions. The final report shall be submitted to the Board within 30 days of the end of the last quarter of the probation period. Licensee shall present this Consent Agreement to the Board-approved Practice Monitor before the first meeting. The first meeting between Licensee and the Practice Monitor shall occur within 30 days of the effective date of this Consent Agreement.

- 23. If, during the probation period, the Practice Monitor is unable or unwilling continue to act as Licensee's Practice Monitor, within 10 business days of the Practice Monitor's termination of the practice monitor relationship, Licensee shall contact the Board in writing and request additional names of other potential Practice Monitors approved by the Board. Licensee shall notify the Board of her new Practice Monitor within 15 business days after the names of additional Practice Monitors are provided.
- 24. **TERMINATION OF PROBATION:** At the end of twelve months, unless otherwise ordered by the Board, Licensee may petition the Board, in writing, and request termination from probation and monitoring. If the Board determines that Licensee has not complied with the requirements of this Consent Agreement, the

Board may either (a) continue the probation, including the Practice Monitor, or (b) institute proceedings for noncompliance with this Consent Agreement, which may result in the suspension, revocation, or other disciplinary or remedial action.

- 25. <u>CONTINUED APPLICATION OF TERMS</u>: If, between the effective date of this Consent Agreement and the termination of Licensee's probation by the Board, Licensee fails to renew her license while under this Consent Agreement and subsequently applies for a license, the remaining terms of this Consent Agreement, including probation and monitoring, shall be imposed if the application for licensure is granted.
- 26. **EFFECTIVE DATE:** Licensee understands that this Consent Agreement shall not become effective unless and until adopted by the Arizona Board of Psychologist Examiners and executed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually approved by the parties in writing.
- 27. <u>CONSIDERATION IN FUTURE ACTIONS</u>: Licensee understands that this Consent Agreement, or any part thereof, may be considered in any future disciplinary action against her.
- 28. **FINAL RESOLUTION:** This Consent Agreement constitutes a final resolution of this disciplinary matter but does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any waiver, expressed or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigations, actions, or proceedings. Further, this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
  - 29. <u>TIME</u>: Time is of the essence with regard to this Consent Agreement.

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1	COPY mailed
2	this 15 <sup>M</sup> day of June 2016, to:
3	Kathleen E. Brody
4	Osborn Maledon PA 2929 N. Central Ave.
5	Ste. 2100 Phoenix, AZ 85012
6	Thochix, AZ 83012
7	COPY of the foregoing mailed by interagency
8	this 15 <sup>14</sup> day of June 2016, to:
9	Jeanne M. Galvin
10	Office of the Arizona Attorney General SGD/LES
11	1275 W. Washington
12	Phoenix, AZ 85007
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14	By: Deolitae
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